



PRAXISTAGE FÜR MITTELSTÄNDISCHE UNTERNEHMEN



Lieferketten verantwortlich gestalten
Über Nachhaltigkeit berichten



unternehmen. verbinden. gestalten

econsense

Forum Nachhaltige Entwicklung
der Deutschen Wirtschaft

Gefördert durch:



Bundesministerium
für Arbeit und Soziales

aufgrund eines Beschlusses
des Deutschen Bundestages

Branchen- und Wertschöpfungsketteninitiativen zur verantwortlichen Gestaltung von Lieferketten

Webinar für
Teilnehmende der CSR-Praxistage

„Lieferketten verantwortlich gestalten – Über Nachhaltigkeit berichten“

13. Dezember 2018, 10:00-11:30 Uhr

www.csr-praxistage.de

Hinweis

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Für Aktualität, Vollständigkeit und Richtigkeit wird keine Gewähr übernommen.

Die Weitergabe dieser Materialien ist ohne schriftliche Erlaubnis nicht zulässig.

Agenda

- ***Brancheninitiativen zur nachhaltigen Gestaltung von Liefer- und Wertschöpfungsketten – Ansätze, Potenziale und Good practice***
Prof. Dr. Martin Müller, Stiftungsprofessor Nachhaltiges Wissen, nachhaltige Bildung, nachhaltiges Wirtschaften, Universität Ulm
- ***Die europäische Brancheninitiative „Drive Sustainability“ – Ziele, Aktivitäten und Erfahrungen***
Alexander Möhle, Einkauf, Daimler AG

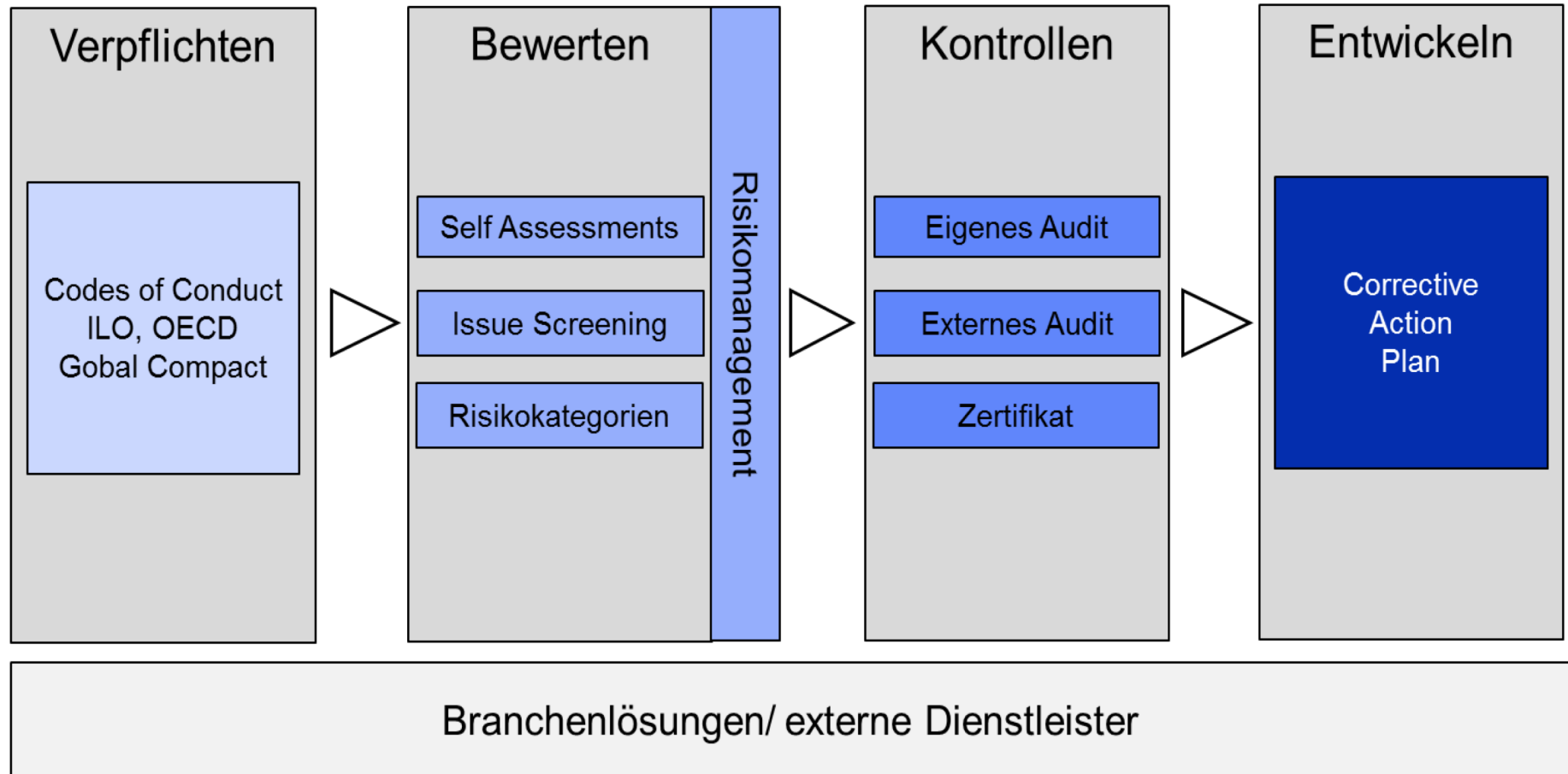


Brancheninitiativen zur nachhaltigen Gestaltung von Liefer- und Wertschöpfungsketten – Ansätze, Potenziale und Good practice

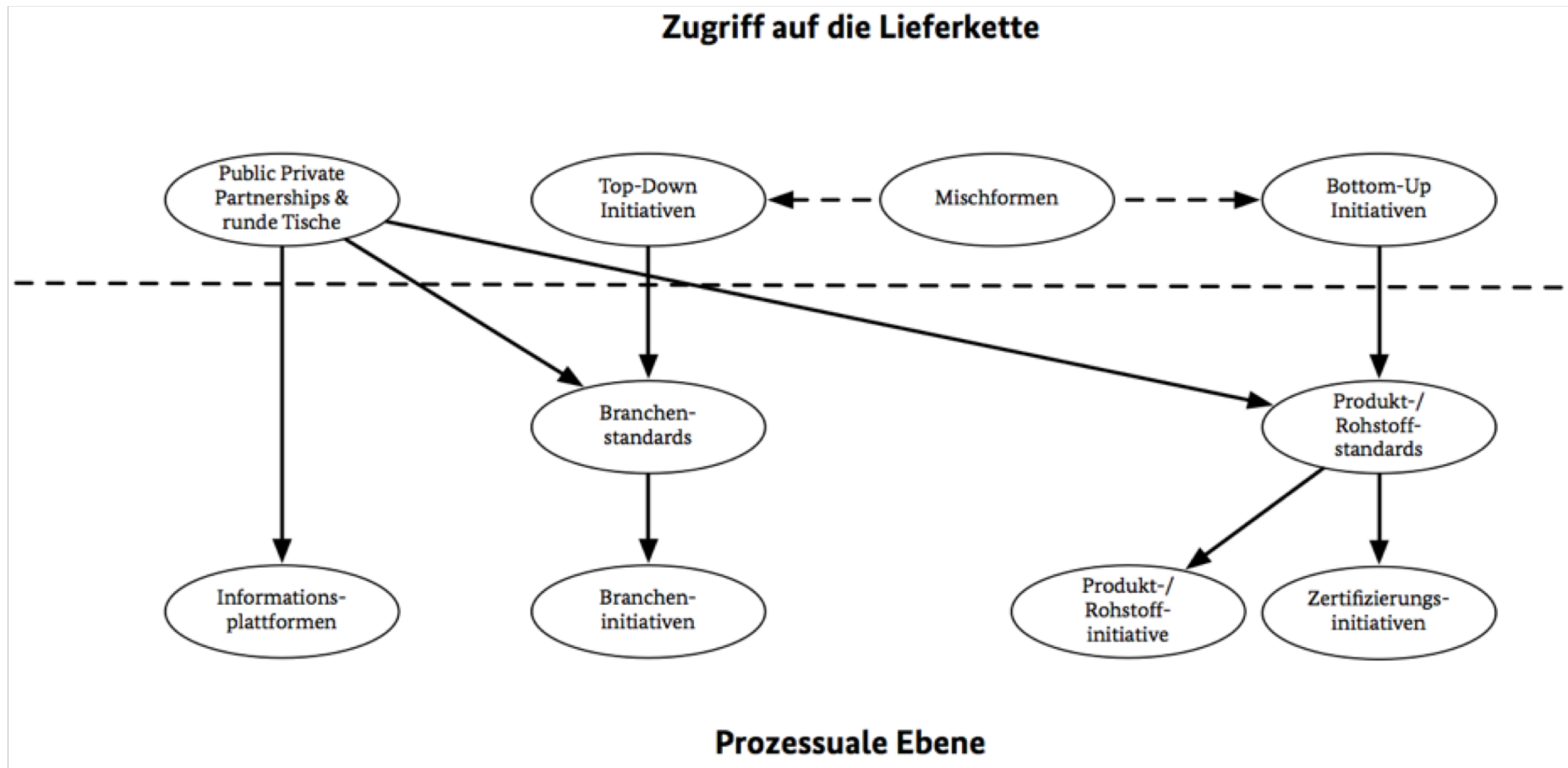
Prof. Martin Müller

Praxistage-Webinar 13. Dezember 2018

Prozesssicht zum Lieferanten



Nutzung von Branchen und Zertifizierungsinitiativen



Brancheninitiativen

Initiative	Branche	Gründungsjahr
AIM Progress	Fast Moving Consumer Goods	2007
Automotive Industry Action Group	Automobil	1982/2006
Business Environmental Performance Initiative	Handel	2014
Business Social Compliance Initiative	Handel	2003
Electronics Industry Citizenship Council	Elektronik	2004
European Automotive Working Group on Supply Chain Sustainability	Automobil	2012
Global e-Sustainability Initiative	Telekommunikation	2008
ICTI Care	Spielwaren	2003
Joint Audit Cooperation	Telekommunikation	2010
Pharmaceutical Supply Chain Initiative	Pharmazeutische Industrie	2006
Railsponsible	Schienenverkehr	2014
Responsible Sport Initiative	Sportwaren/Fahrräder	2016
Sustainable Apparel Coalition	Textilien	2010
Together for Sustainability	Chemie	2011

Capacity Building

- Formen von Capacity Building:
 - Interaktive Capacity Building Maßnahmen (Webinare) durch acht Initiativen
 - Individuelle Beratung zur Umsetzung von Schulungsinhalten durch ICTI Care
- Akkreditierung von Schulungsleitern:
 - Formalisierte Akkreditierung für Schulungsleiter durch BSCI und EICC
- Kaskadierung der Schulungsinhalte in die Lieferketten
 - Offene Schulungen für alle Teilnehmer durch AIM Progress und SAC
 - Lokale Schulungsnetzwerke durch EAWG
 - Verpflichtung zur Kaskadierung von Schulungsinhalten und Kontrolle durch Nachweis zur Durchführung von Veranstaltungen durch AIAG

Validierungsprozesse

- Durchführung der Audits
 - Unangekündigte Audits durchgeführt von ICTI Care
- Korruptionsvermeidung:
 - Stichprobenkontrollen von Auditoren in Form von Wiederholungsaudits durch eigene Mitarbeiter durch ICTI Care
 - 4th Party Auditor, Mitarbeiter von EICC der Initiative überwachen die Auditfirmen
- Regelungen:
 - Umfassende Regelungen der Prozesse für Auditoren durch JAC, PSCI, Tfs
- Kostenübernahme:
 - durch Initiative oder ihre Mitglieder durch BEPI, BSCI, EAWG (alle Self-Assessments) und PSCI (Audits)

Defizite

- Impactdefinitionen beziehen sich teilweise lediglich auf die Verbreitung der Aktivitäten von Initiativen und umfassende Impactmessungen zu tatsächlichen Verbesserung von ökologischen und sozialen Zuständen vor Ort gibt es bislang kaum.
- Ein Großteil der Initiativen hat nur einen begrenzten Einbezug von zivilgesellschaftlichen Anspruchsgruppen etabliert oder keinen Einbezug dieser Anspruchsgruppen vorzuweisen.
- Es konnte, im Rahmen dieser Studie, kein strukturierter Best Practice Ansatz zur Kaskadierung von Anforderungen und Prozessen in die Lieferketten identifiziert werden.
- Die aktuelle Situation zeigt, dass es inhaltliche Schnittmengen zwischen Brancheninitiativen gibt, jedoch keine systematische Vernetzung existiert.

Zertifizierungsinitiativen

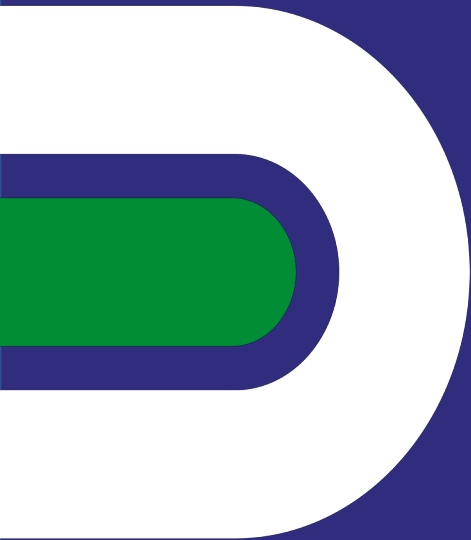


Delivering the
Future of Tin



Schlussbetrachtung

- Das Thema wird weiter an Bedeutung gewinnen, insbesondere die Kaskadierung der Kette
- Gerade in Großunternehmen haben sich schon Prozesse in Richtung 1-Tier etabliert
- Zentral ist die verbindliche Integration von Nachhaltigkeit in den Beschaffungsprozess
- Initiativen entlang der Kette in Bezug auf kritische Ressourcen, wie auch Brancheninitiativen könnten vor diesem Hintergrund zukünftig an Bedeutung gewinnen



Drive Sustainability

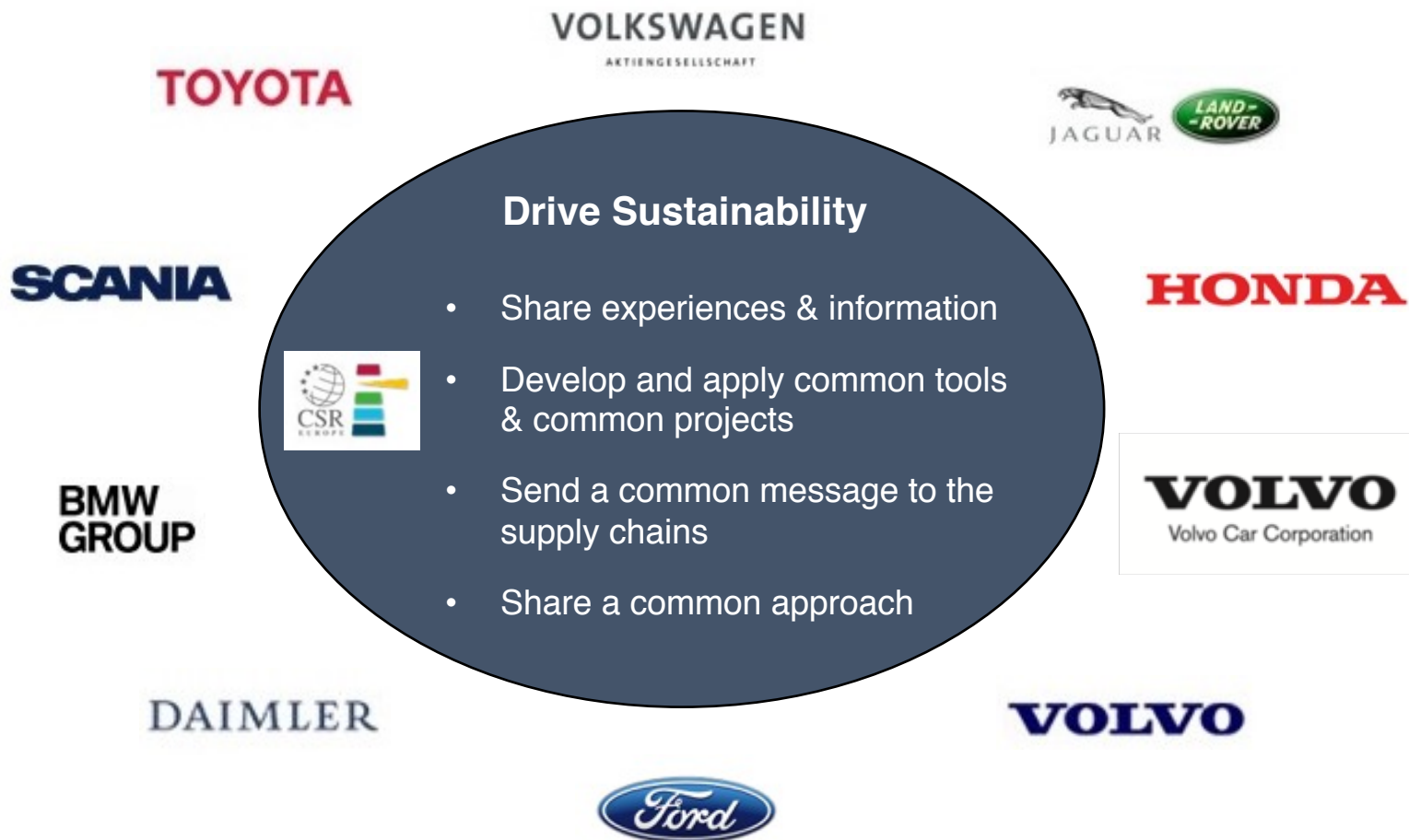
The Automotive Partnership

Purpose & ambition

Drive sustainability throughout the global automotive supply chain by:

- ✓ **Integrating** sustainability in the overall procurement process
- ✓ **Improving** both its own performance and that of its supply chain
- ✓ Sending a **common message** to suppliers
- ✓ Acting as strong promoter of **standardisation** and harmonization of supply chain approaches
- ✓ **Collaborating** with actors across the value chain

Companies engaged



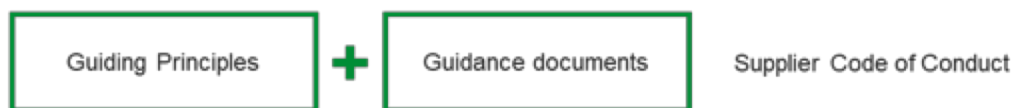
Strict compliance with competition laws

Global strategy

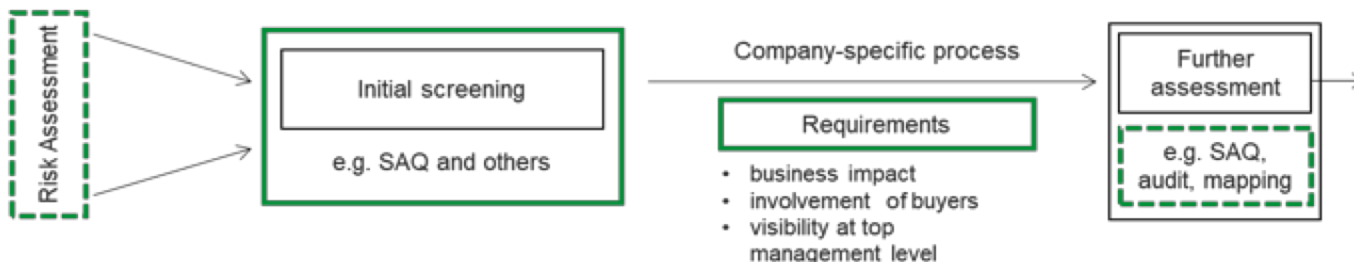
Global approach to supply chain sustainability in the automotive sector



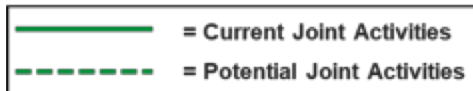
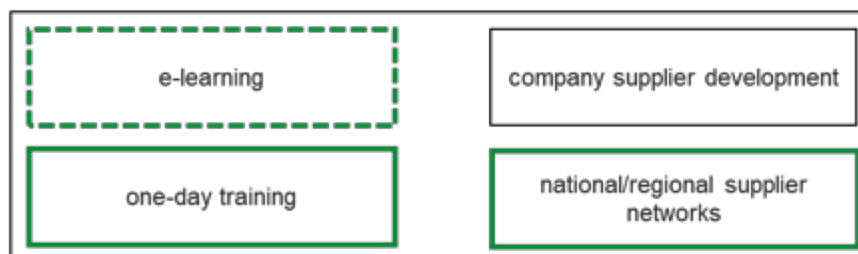
1. Principles/Policies



2. Compliance Process



3. Capacity Building



Guiding Principles



Automotive Industry Guiding Principles to Enhance Sustainability Performance in the Supply Chain

We endeavor/endeavour to achieve excellence, innovation and performance in a sustainable manner. People and the environment are the automotive industry's most important resources. For this reason, we are working together to attain the highest standard in business integrity and in the social and environmental performance of our supply chain.

The automotive industry supply chain has a high degree of complexity, therefore we believe in the benefits of a common approach and message. The following guidelines clearly describe our minimum expectations towards business ethics, working conditions, human rights, and environmental leadership; for our suppliers as well as their subcontractors and suppliers. We expect that suppliers will uphold these standards and cascade them down their supply chain.

These guidelines are based on fundamental principles of social and environmental responsibility that are compliant with local law, consistent with international expectations and are supported by the sponsoring Auto Manufacturers. Individual manufacturers may have their own standards, codes and policies that supersede these guidelines.

Business Ethics

Companies are expected to uphold the highest standards of integrity and to operate honestly and equitably throughout the supply chain in accordance with local laws.

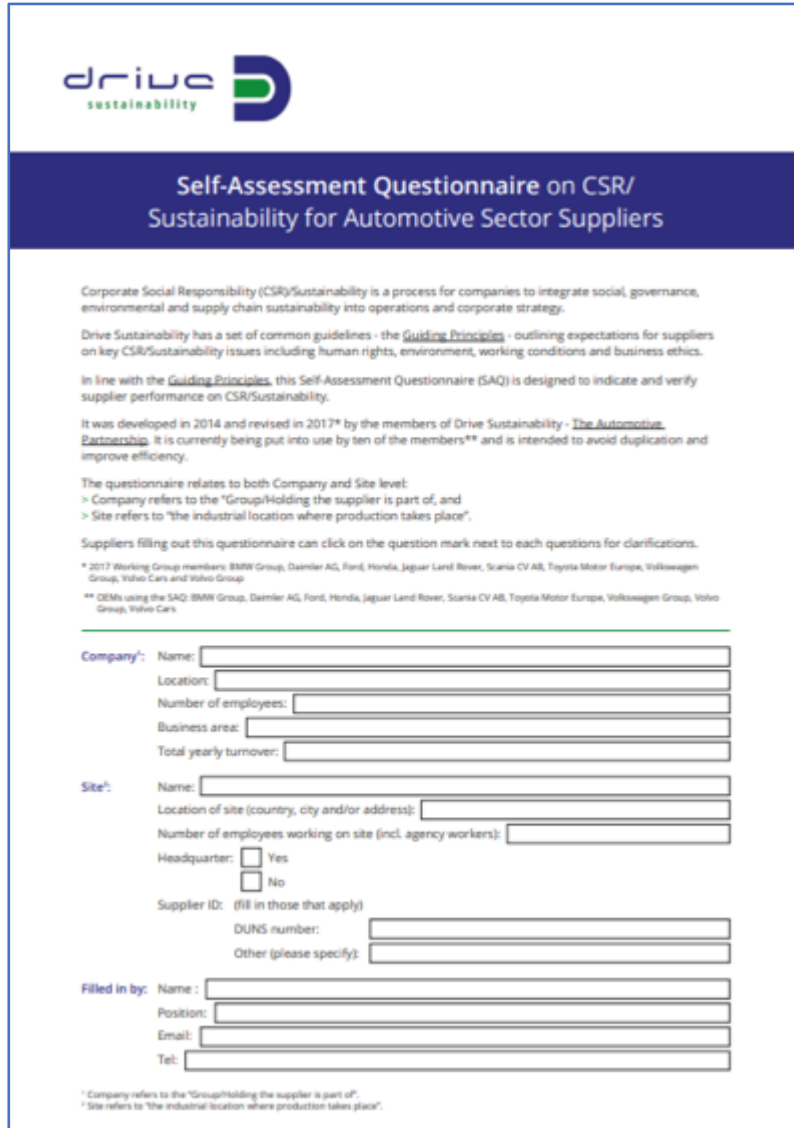
- **Responsible Sourcing of Materials:** Companies are expected to responsibly source raw materials used in their products.
- **Anti-Corruption:** Companies are expected to work against corruption in all its forms, including extortion and bribery.
- **Privacy:** Companies are expected to put in place appropriate measures to respect privacy, to protect personal data against loss and unauthorized/unauthorised access or use, and to comply with relevant privacy and information security laws and regulations.
- **Financial Responsibility/Accurate Records:** All business dealings are expected to be transparently performed and accurately reflected on the company's financial reports and filings.
- **Disclosure of Information:** Companies are expected to disclose financial and non-financial information in accordance with applicable regulations and prevailing industry practices.
- **Fair Competition/Anti-Trust:** Companies are expected to uphold standards of fair business and conduct business in accordance with all applicable anti-trust or anti-competition laws and regulations.
- **Conflicts of Interest:** Companies are expected to conduct business in a manner that avoids any appearance of impropriety.
- **Counterfeit Parts:** Companies are expected to minimize the risk of introducing counterfeit and/or diverted parts and materials into deliverable products.
- **Intellectual Property:** Companies are expected to respect valid intellectual property rights and use commercially reasonable practices to protect the transfer of confidential technology and know-how.
- **Export Controls and Economic Sanctions:** Companies are expected to comply with applicable restrictions on the export or re-export of goods, software, services and technology, as well as with applicable restrictions on trade involving certain countries, regions, companies or entities and individuals.
- **Protection of Identity and Non-Retaliation:** Companies are expected to establish processes that allow concerns to be raised anonymously with confidentiality and without retaliation.

Dated: 12-5-17

*“ We endeavor to achieve excellence, innovation and performance in a sustainable manner. People and the environment are the automotive industry’s most important resources. For this reason, we are working together to attain the highest standard in (1) **business integrity** and in the (2) **social** and (3) **environmental** performance of our supply chain”.*

Success depends on the commitment of our entire global supply chain.

Self-Assessment questionnaire for suppliers



drive sustainability

Self-Assessment Questionnaire on CSR/ Sustainability for Automotive Sector Suppliers

Corporate Social Responsibility (CSR)/Sustainability is a process for companies to integrate social, governance, environmental and supply chain sustainability into operations and corporate strategy.

Drive Sustainability has a set of common guidelines - the Guiding Principles - outlining expectations for suppliers on key CSR/Sustainability issues including human rights, environment, working conditions and business ethics.

In line with the Guiding Principles, this Self-Assessment Questionnaire (SAQ) is designed to indicate and verify supplier performance on CSR/Sustainability.

It was developed in 2014 and revised in 2017* by the members of Drive Sustainability - The Automotive Partnership. It is currently being put into use by ten of the members** and is intended to avoid duplication and improve efficiency.

The questionnaire relates to both Company and Site level:

- > Company refers to the "Group/Holding the supplier is part of, and
- > Site refers to "the industrial location where production takes place".

Suppliers filling out this questionnaire can click on the question mark next to each questions for clarifications.

* 2017 Working Group members: BMW Group, Daimler AG, Ford, Honda, Jaguar Land Rover, Scania CV AB, Toyota Motor Europe, Volkswagen Group, Volvo Cars and Volvo Group

** OEMs using the SAQ: BMW Group, Daimler AG, Ford, Honda, Jaguar Land Rover, Scania CV AB, Toyota Motor Europe, Volkswagen Group, Volvo Group, Volvo Cars

Company¹:

Name:

Location:

Number of employees:

Business area:

Total yearly turnover:

Site²:

Name:

Location of site (country, city and/or address):

Number of employees working on site (incl. agency workers):

Headquarter: ☐ Yes
☐ No

Supplier ID: (fill in those that apply)

DUNS number:

Other (please specify):

Filled in by: Name:

Position:

Email:

Tel:

¹ Company refers to the "Group/Holding the supplier is part of".
² Site refers to "the industrial location where production takes place".

2014

Made public for use

2015

Increase use and roll-out of SAQ

2016

Update to SAQ 2.0

2018

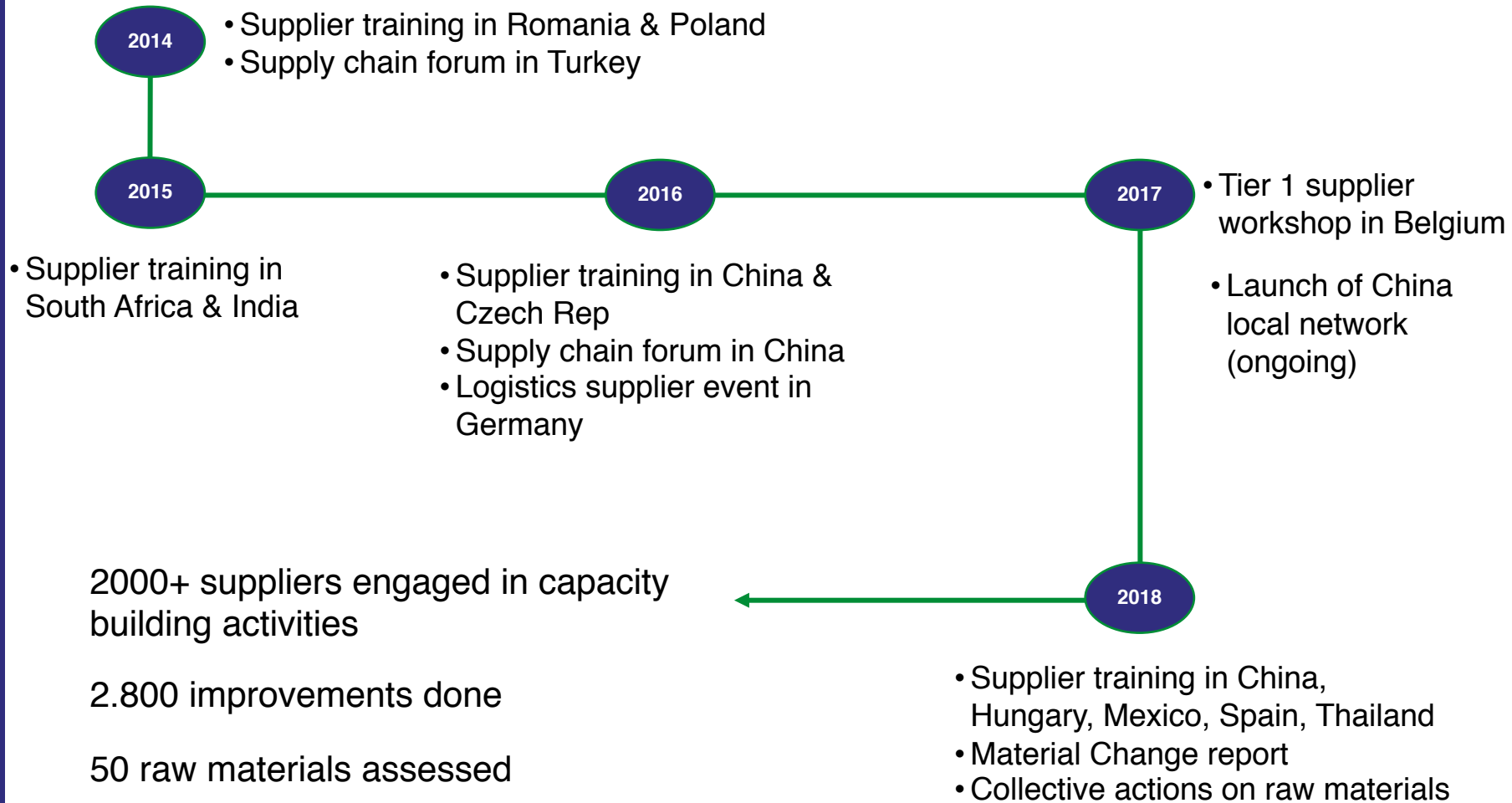
Update to SAQ 3.0

- Usage of 3TGs
- CDP score
- Internal KPI's & internal communication of policies

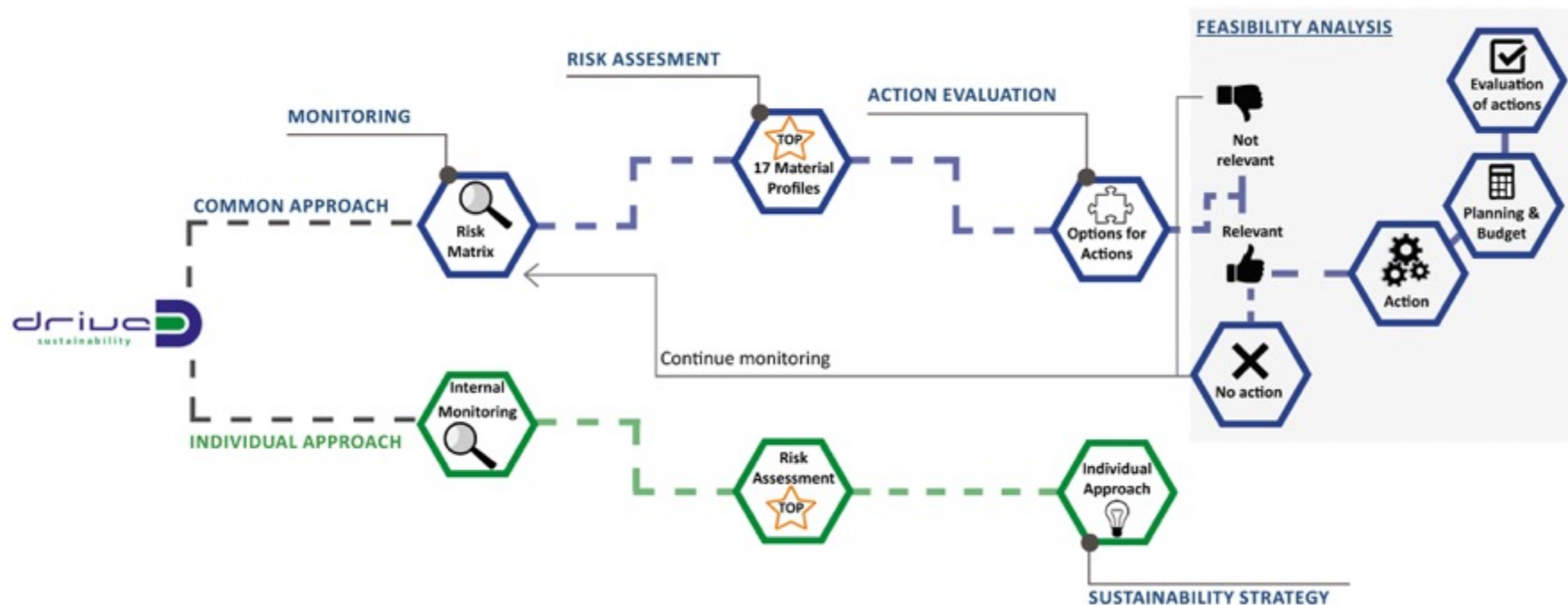
2019

Over 25,000 Self-Assessment Questionnaires (SAQs) conducted in more than 100 countries

Capacity building



Raw Materials



MATERIAL CHANGE
REPORT

RESPONSIBLE
MINERALS
INITIATIVE

OTHERS, TO BE
COMMUNICATED

Transparency & engagement



2017

- 1st stakeholder event
- 1st Leadership Assembly (top management commitment)
- 4 international conferences as speaker



2018

- 1st newsletter
- 1st progress report
- 6 international conferences

2019

- 2nd stakeholder event
- 2nd Leadership Assembly
- Continue communication & stakeholder engagement

For more information

- Consult the website:
<https://drivesustainability.org/>
- Contact Alice Pedretti:
 - E: ap@csreurope.org
 - T: +32 2 502 8354





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